

Addendum

Legal Pool Investments*

Investment Type	Max.% of Portfolio	Max. Maturity	Quality Requirements
a) Bonds issued by a local agency	None	None	None
b) Treasury obligations	None	None	None
c) State of California Obligations	None	None	None
d) Obligation of Calif. local agency	None	None	None
e) Obligations issued by Federal Agencies, and U.S. Government sponsored enterprises.	None	None	None
f) Bankers Acceptances	40%	180 days max	None
g) Commercial Paper	40%	270 days max.	U.S. entity with credit enhancements resulting in paper rating A1/P1 or better; with \$500MM in assets; A or higher long term rating if any; max. 10% of portfolio per issuer
h) Negotiable C.D.s	30%	5 years	None
i) Repurchase Agreements Reverse Repurchase Agreements	None 20% of base	1 year 92 days maximum, or to maturity	Collateral must be a legal investment None
j) Medium Term Note	30%	5 years	U.S. Corporations, or Banks licensed within any State of the U.S., "A" or better rating by major rating service.
k) Mutual Funds	20%, 10% per fund	NA	A defined money market fund: or invest only in a-j, m,n, of this list, as restricted; Highest letter and number ranking of 2 of 3 rating services; or a SEC Registered Advisor with 5 Yrs. experience, managing assets of \$500MM or more; No load.
l) Investments as permitted by provision in agreements of indebtedness	As per bond documentation	NA	Not contrary to 53601 & 53635 and other pertinent law.
m) Asset secured indebtedness	None	None	As required by 53652
n) Collateralized Mortgage obligations	20%	5 years	Issuer must be rated "A" minimum, security must be "AA" by national rating service.
o) Contracted Non-Neg. Time Deposits	None	None	None
p) Sec. 53635.8 Deposited Pooled sm CDs	30%	None	Insured as to principle and interest

These tables are not meant to be a replacement for the Government Code. Involved parties should obtain a valid, updated copy of the pertinent Code sections to fully understand all the details included within these Codes.

*Other Temporary Constraints & Restrictions may be in effect on these permitted investments.

This page has been added as a guide. The law states that all required percentages included within investment related sections of the Government Codes are only binding on the day the investment is purchased and that future changes in the size of the portfolio do not require the Treasurer to readjust the total percentage of each security type within the portfolio to reflect the change in size. Neither is it necessary to sell an investment when changes occur such that the security no longer meets the minimum requirements of the Codes, or the Codes are changed such as to no longer include certain current holdings. The Treasurer shall weigh the change in risk and determine whether or not a security should be sold or retained within the Portfolio after a change in conditions or the Codes result in a particular security no longer meeting existing or new regulations.

**San Benito County
Portfolio Management
Portfolio Details - Investments
March 31, 2013**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM/C 365 Mat./Call	Days to Maturity	
Passbook/Checking Accounts												
SYS10000	10000	Bank of America			3,235,611.19	3,235,611.19	3,235,611.19	0.001		0.001	1	
		Subtotal and Average	104,374.55		3,235,611.19	3,235,611.19				0.001	1	
Negotiable CDs												
06417FBG46	20019	Bank of Nova Scotia		12/03/2012	5,000,000.00	5,005,750.00	5,019,574.36	0.721	AA	0.607	893 09/11/2015	
85325BTK0	40280	Standard Charter FR CD		12/21/2012	5,000,000.00	5,004,585.00	5,004,218.53	0.762	A	0.745	1,025 01/21/2016	
06741XKK3	40277	Barclays Bank PLC NY CD FR		12/14/2012	5,000,000.00	5,000,000.00	5,003,077.71	0.701	A+	0.684	654 01/15/2015	
65558EFN3	40279	Nordia Bank Finland NY YCD FR		12/20/2012	5,000,000.00	5,000,000.00	5,000,000.00	0.780	A-1+	0.793	994 12/21/2015	
63253TLX4	40247	National Australia Bank		03/14/2012	5,000,000.00	5,051,885.00	5,036,333.11	1.502	AA	1.161	304 01/30/2014	
22550C6P1	40233	Credit Suisse New York		10/07/2011	5,000,000.00	5,012,235.00	5,017,659.78	0.937	A+	0.855	148 08/27/2013	
22532XB00	40230	Credit Agricole CIB NY		05/03/2011	5,000,000.00	5,033,720.00	5,000,000.00	1.298	AA	1.370	400 05/08/2014	
00279HXS0	40231	Abbey Natl Treas FR YCD		05/11/2011	5,000,000.00	5,004,245.00	5,008,862.70	1.601	AA	1.601	24 04/25/2013	
74977MRR8	40249	Rabobank Nederland NV NY		04/17/2012	3,000,000.00	3,007,179.00	2,981,646.19	0.653	AA	1.066	291 01/17/2014	
		Subtotal and Average	43,071,616.80		43,000,000.00	43,119,599.00	43,071,372.38			0.983	537	
Medium Term Notes												
539473AK4	70071	Lloyds TSB Bank PLC NY		04/20/2011	3,000,000.00	3,048,540.00	3,099,321.93	2.652	A+	1.504	298 01/24/2014	
36962GK94	40211	G.E. CAP Corp MTN FR		05/07/2010	5,000,000.00	5,002,570.00	4,870,804.23	0.540	AAA	1.244	532 09/15/2014	
36962GV5	40275	G.E. CAP Corp MTN FR		12/13/2012	5,000,000.00	4,936,930.00	4,927,538.92	0.492	AA	0.915	1,136 05/11/2016	
74153WBJ7	70065	Prisco Global Funding #1 FR MT		12/17/2009	5,000,000.00	5,002,015.00	4,871,260.27	0.483	AA	1.307	179 09/27/2013	
63254AAD0	40265	National Australia Bank		08/10/2012	3,000,000.00	3,065,761.00	3,018,737.36	1.426	AA	1.226	858 08/07/2015	
2027A0FP9	70076	Commonwealth Bank Aust		03/27/2012	2,000,000.00	2,013,936.00	2,003,281.67	1.010	AA	1.004	350 03/17/2014	
21665WCG0	40250	Rabobank Nederland NY		04/17/2012	3,000,000.00	3,006,955.00	2,984,275.23	0.655	AA	1.013	378 04/14/2014	
59157BAF9	70074	METLIFE INSTITUTIONAL FD		04/23/2012	5,000,000.00	5,040,730.00	5,028,802.95	1.205	AA	0.985	368 04/04/2014	
9612E0CD7	70075	Westpac Banking Corp		04/30/2012	5,000,000.00	6,042,282.00	6,014,725.62	1.014	AA	0.939	364 03/31/2014	
949746NA5	40260	Wells Fargo & Co		07/27/2012	5,000,000.00	4,980,955.00	4,917,947.22	0.501	A+	1.037	940 10/28/2015	
		Subtotal and Average	41,736,500.52		42,000,000.00	42,132,674.00	41,736,595.40			1.101	553	
Managed Pool Accounts												
SYS10002	10002	Calif Asset Management Program			0.00	0.00	0.00	0.180		0.180	1	
SYS10001	10001	Union Bank of California			60,720.05	60,720.05	60,720.05	0.010	A-1	0.010	1	
SYS90001	90001	Local Agency Investment Fund			45,000,000.00	45,000,000.00	45,000,000.00	0.280		0.280	1	
		Subtotal and Average	45,589,221.95		45,060,720.05	45,060,720.05	45,060,720.05			0.280	1	

San Benito County
 Portfolio Management
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 March 31, 2013

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Per Value	Market Value	Book Value	Stated Rate	S&P	YTM/C 365 Mat./Call	Days to
		Total and Average	130,501,713.82		133,296,331.24	133,548,604.24	133,104,299.02			0.758	347

**San Benito County
Investments by CUSIP
Security ID with Active Investments
March 31, 2013**

CUSIP	Fund	Issuer	Fund Description	Investment #	Current Rate	S&P	Moody	Type	Original Par Value	Original Principal	Maturity Date	Purchase Price	Remaining Par Value	Book Value	Redeemed
Abbey Natl Treas FR YCD															
CUSIP	06279HXS0	Abbey Natl Treas FR YCD	county inv pool	40231	1.601	AA	Aa	Negotiable CDs	5,000,000.00	5,009,995.00	04/25/2013	100.19990000	5,000,000.00	5,008,862.70	04/12/2013
Bank of America															
SYSTEM	SYS10000	Bank of America	county inv pool	10000	0.001			Passbook/Checking Accounts	1,801,865.27	1,801,865.27		100.00000000	3,235,611.19	3,235,611.19	
Bank of Nova Scotia															
CUSIP	06417FBG4	Bank of Nova Scotia	county inv pool	20019	0.721	AA	Aa	Negotiable CDs	5,000,000.00	5,019,750.00	09/11/2015	100.39500000	5,000,000.00	5,019,574.36	
Barclays Bank PLC NY CD FR															
CUSIP	06741XKK3	Barclays Bank PLC NY CD FR	county inv pool	40277	0.701	A+	Aaa	Negotiable CDs	5,000,000.00	5,003,081.75	01/15/2015	100.06163500	5,000,000.00	5,003,077.71	
Calif Asset Management Program															
SYSTEM	SYS10002	Calif Asset Management Program	county inv pool	10002	0.180			Managed Pool Accounts	0.00	0.00		100.00000000	0.00	0.00	
Commonwealth Bank Aust															
CUSIP	2027A0FP9	Commonwealth Bank Aust	county inv pool	70076	1.010	AA	Aa	Medium Term Notes	2,000,000.00	2,003,300.00	03/17/2014	100.16500000	2,000,000.00	2,003,281.67	
Credit Agricole CIB NY															
CUSIP	22532XBQ0	Credit Agricole CIB NY	county inv pool	40230	1.298	AA	Aa	Negotiable CDs	5,000,000.00	5,000,000.00	05/06/2014	100.00000000	5,000,000.00	5,000,000.00	
Credit Suisse New York															
CUSIP	22550C6P1	Credit Suisse New York	county inv pool	40233	0.937	A+	Aa	Negotiable CDs	5,000,000.00	5,019,250.00	08/27/2013	100.38500000	5,000,000.00	5,017,659.78	
G.E. CAP Corp MTN FR															
CUSIP	36962G2V5	G.E. CAP Corp MTN FR	county inv pool	40275	0.492	AA	Aa	Medium Term Notes	5,000,000.00	4,923,870.00	05/11/2016	98.47740000	5,000,000.00	4,927,539.92	
CUSIP	36962GK94	G.E. CAP Corp MTN FR	county inv pool	40211	0.540	AAA	Aa	Medium Term Notes	5,000,000.00	4,866,615.00	09/15/2014	97.33230000	5,000,000.00	4,870,804.23	

San Benito County
Investments by CUSIP
March 31, 2013

CUSIP	Fund	Issuer	Fund Description	Investment #	Current Rate	S&P	Moody	Type	Original Par Value	Original Principal	Maturity Date	Purchase Price	Remaining Par Value	Book Value	Redeemed
Lloyds TSB Bank PLC NY															
CUSIP	539473AK4	Lloyds TSB Bank PLC NY	county inv pool	70071	2.652	A+	Aa3	Medium Term Notes	3,100,617.00	3,100,617.00	01/24/2014	103.3539000	3,000,000.00	3,099,321.93	
Local Agency Investment Fund															
SYSTEM	SYS90001	Local Agency Investment Fund	county inv pool	90001	0.280			Managed Pool Accounts	23,900,000.00	23,900,000.00		100.0000000	45,000,000.00	45,000,000.00	
METLIFE INSTITUTIONAL FD															
CUSIP	59157BAF9	METLIFE INSTITUTIONAL FD	county inv pool	70074	1.205	AA	Aa	Medium Term Notes	5,028,925.00	5,028,925.00	04/04/2014	100.5785000	5,000,000.00	5,028,802.95	
National Australia Bank															
CUSIP	63253TLX4	National Australia Bank	county inv pool	40247	1.502	AA	Aa	Negotiable CDs	5,039,185.00	5,039,185.00	01/30/2014	100.7837000	5,000,000.00	5,036,333.11	
CUSIP	63254AAD0	National Australia Bank	county inv pool	40265	1.426	AA	Aa	Medium Term Notes	3,020,400.00	3,020,400.00	08/07/2015	100.6800000	3,000,000.00	3,018,737.36	
Nordia Bank Finland NY YCD FR															
CUSIP	6558EFN3	Nordia Bank Finland NY YCD FR	county inv pool	40279	0.780	A-1+	P-1	Negotiable CDs	5,000,000.00	5,000,000.00	12/21/2015	100.0000000	5,000,000.00	5,000,000.00	
Pricoa Global Funding #1 FR MT															
CUSIP	74153WBJ7	Pricoa Global Funding #1 FR MT	county inv pool	70065	0.483	AA	A	Medium Term Notes	4,868,885.00	4,868,885.00	09/27/2013	97.3777000	5,000,000.00	4,871,260.27	
Rabobank Nederland NV NY															
CUSIP	74977MRR8	Rabobank Nederland NV NY	county inv pool	40249	0.653	AA	Aaa	Negotiable CDs	2,981,559.75	2,981,559.75	01/17/2014	99.3853250	3,000,000.00	2,981,646.19	
Rabobank Nederland NY															
CUSIP	21685WCG0	Rabobank Nederland NY	county inv pool	40250	0.655	AA	Aaa	Medium Term Notes	2,981,997.00	2,981,997.00	04/14/2014	99.3999000	3,000,000.00	2,984,275.23	
Standard Charter FR CD															
CUSIP	85325BTK0	Standard Charter FR CD	county inv pool	40280	0.762	A		Negotiable CDs	5,004,585.00	5,004,585.00	01/21/2016	100.0917000	5,000,000.00	5,004,216.53	
Union Bank of California															
SYSTEM	SYS10001	Union Bank of California	county inv pool	10001	0.010	A-1	P-1	Managed Pool Accounts	2,478.00	2,478.00		100.0000000	60,720.05	60,720.05	

San Benito County
Investments by CUSIP
March 31, 2013

CUSIP	Fund	Issuer	Fund Description	Investment #	Current Rate	S&P	Moody'	Type	Original Par Value	Original Principal	Maturity Date	Purchase Price	Remaining Par Value	Book Value	Redeemed
						Purchased									
Wells Fargo & Co															
CUSIP 949746NA5 POOL		Wells Fargo & Co	county inv pool	40260	0.501	A+		Medium Term Notes	5,000,000.00	4,917,500.00	10/28/2015	98.35000000	5,000,000.00	4,917,847.22	
Westpac Banking Corp															
CUSIP 9612E0CD7 POOL		Westpac Banking Corp	county inv pool	70075	1.014	AA	Aa	Medium Term Notes	6,000,000.00	6,016,182.00	03/31/2014	100.2697000	6,000,000.00	6,014,725.62	
								Total	110,704,343.27	110,510,040.77		133,296,331.24	133,296,331.24	133,104,299.02	

s/Mary Lou Andrade
Mary Lou Andrade, Treasurer-Tax Collector 05-03-13