



SAN BENITO COUNTY
PORTFOLIO MANAGEMENT SUMMARY
September 30, 2016

STATEMENT OF COMPLIANCE AND AVAILABILITY: I hereby declare, that to the best of knowledge, all investments held within the County Investment Pool meet the requisites of the government codes and the terms of the County Investment Policy and are valued currently within this report. Market valuations are provided by the County's Custody / Safekeeping Bank, Union Bank. Furthermore, the Investment Portfolio is structured in such a way that sufficient cash shall be available to meet the normal, projected cash needs of the County and the Investment Pool participants during the next six months.

Asset Class	Face Amount/Shares	Market Value	Book Value	% of Portfolio	YTM @ Cost	Days To Maturity
Certificate of Deposit - Negotiable	50,000,000.00	50,020,193.00	50,013,270.55	33.94	1.19	142
Commercial Paper - Discount	10,000,000.00	9,999,600.00	9,989,366.70	6.79	0.67	6
Managed Pool Accounts	31,200,000.00	31,200,000.00	31,200,000.00	21.18	0.64	1
Medium Term Notes	44,525,000.00	44,561,252.75	44,556,789.83	30.22	1.21	258
Money Market	125,000.00	125,000.00	125,000.00	0.08	0.00	1
None	10,000,000.00	10,000,900.00	10,000,000.00	6.79	0.59	12
Passbook Checking Accounts	1,489,621.61	1,489,621.61	1,489,621.61	1.01	0.00	1
Total / Average	147,339,621.61	147,396,567.36	147,374,048.69	100.00	0.99	128

s/Mary Lou Andrade 11/16/2016

Mary Lou Andrade, Treasurer-Tax Collector Date

Addendum

Legal Pool Investments*

Investment Type	Max. % of Portfolio	Max. Maturity	Quality Requirements
a) Bonds issued by a local agency	None	None	None
b) Treasury obligations	None	None	None
c) State of California Obligations	None	None	None
d) Obligation of Calif. local agency	None	None	None
e) Obligations issued by Federal Agencies, and U.S. Government sponsored enterprises.	None	None	None
f) Bankers Acceptances	40%	180 days max	None
g) Commercial Paper	40%	270 days max.	U.S. entity with credit enhancements resulting in paper rating A1/P1 or better; with \$500MM in assets; A or higher long term rating if any; max. 10% of portfolio per issuer
h) Negotiable C.D.s	30%	5 years	None
i) Repurchase Agreements Reverse Repurchase Agreements	None 20% of base	1 year 92 days maximum, or to maturity	Collateral must be a legal investment None
j) Medium Term Note	30%	5 years	U.S. Corporations, or Banks licensed within any State of the U.S., "A" or better rating by major rating service.
k) Mutual Funds	20%, 10% per fund	NA	A defined money market fund: or invest only in a-j, m,n, of this list, as restricted; Highest letter and number ranking of 2 of 3 rating services; or a SEC Registered Advisor with 5 Yrs. experience, managing assets of \$500MM or more; No load.
l) Investments as permitted by provision in agreements of indebtedness	As per bond documentation	NA	Not contrary to 53601 & 53635 and other pertinent law.
m) Asset secured indebtedness	None	None	As required by 53652
n) Collateralized Mortgage obligations	20%	5 years	Issuer must be rated "A" minimum, security must be "AA" by national rating service.
o) Contracted Non-Neg. Time Deposits	None	None	None
p) Sec. 53635.8 Deposited Pooled sm CDs	30%	None	Insured as to principle and interest

These tables are not meant to be a replacement for the Government Code. Involved parties should obtain a valid, updated copy of the pertinent Code sections to fully understand all the details included within these Codes.

*Other Temporary Constraints & Restrictions may be in effect on these permitted investments.

This page has been added as a guide. The law states that all required percentages included within investment related sections of the Government Codes are only binding on the day the investment is purchased and that future changes in the size of the portfolio do not require the Treasurer to readjust the total percentage of each security type within the portfolio to reflect the change in size. Neither is it necessary to sell an investment when changes occur such that the security no longer meets the minimum requirements of the Codes, or the Codes are changed such as to no longer include certain current holdings. The Treasurer shall weigh the change in risk and determine whether or not a security should be sold or retained within the Portfolio after a change in conditions or the Codes result in a particular security no longer meeting existing or new regulations.



San Benito County

Portfolio Holdings by Asset Class

Report Group: San Benito County

Date: 9/30/2016

Description	Face Amount / Shares	Settlement Date	Cost Value	Market Price	Market Value	Unre. Gain/Loss	% Portfolio	Credit Rating	Days To Call/Maturity
CUSIP		YTM @ Cost	Book Value	YTM @ Market	Accrued Interest			Credit Rating	Duration To Maturity
Certificate of Deposit - Negotiable									
Abbey National YCD Var. Negotiable CD 1/17/2017		1/17/2014	5,000,000.00	100.00	5,000,000.00		3.4%	S&P-A	109
00279HR40	5,000,000.00	1.11	5,000,000.00	1.11	11,546.70		0.00	Moody's-A2	0
Bank of Montreal Chicago YCD Var. Negotiable CD 9/		2/23/2016	1,999,702.68	100.04	2,000,720.00		1.36%	S&P-A+	336
06427EBW0	2,000,000.00	1.32	1,999,804.82	1.32	2,130.07		915.18	Moody's-Aa3	0
Bank of Nova Scotia Houston FR YCD Var. Negotiable		4/18/2016	1,999,134.75	100.05	2,001,080.00		1.36%	Moody's-Aa3	146
06417HE36	2,000,000.00	1.10	1,999,496.43	1.10	2,133.27		1,583.57	None	0
Bank of Tokyo-Mitsubishi YCD Var. Negotiable CD 12		4/11/2016	6,005,187.55	100.02	6,001,320.00		4.08%	S&P-A+	80
065838JAP1	6,000,000.00	1.24	6,001,914.45	1.24	2,612.48		-594.45	Moody's-A1	0
BK of Montreal Chicago FR YCD Var. Negotiable CD 3		5/6/2016	4,008,232.17	99.96	3,998,520.00		2.72%	S&P-A+	532
06427EYK1	4,000,000.00	1.55	4,006,619.68	1.55	2,417.16		-8,099.68	Moody's-Aa3	0
CIBC FR YCD Var. Negotiable CD 12/5/2016		4/11/2016	10,009,707.30	100.09	10,009,100.00		6.79%	S&P-A	66
13606AJY5	10,000,000.00	1.16	10,003,793.19	1.16	8,535.15		5,306.81	Moody's-A2	0
Credit Suisse NY FR YCD Var. Negotiable CD 5/3/201		4/29/2016	5,000,000.00	100.00	5,000,000.00		3.4%	S&P-A	215
22549VV83	5,000,000.00	1.36	5,000,000.00	1.36	10,948.31		0.00	Moody's-A1	0
Mizuho BK LTD NY F.R. YCD Var. Negotiable CD 10/27		4/27/2015	2,000,000.00	100.00	2,000,000.00		1.36%	Moody's-A1	27
60689DCZ4	2,000,000.00	1.06	2,000,000.00	1.06	3,840.42		0.00	Fitch-A	0
Nordia Bank, NY Var. Negotiable CD 1/30/2017		1/30/2014	3,000,904.03	100.03	3,000,903.00		2.04%	S&P-AA-	122
65558EYK8	3,000,000.00	1.06	3,000,150.95	1.06	5,395.96		752.05	Moody's-Aa3	0
Svenska Handelsbanken NY YCD Var. Negotiable CD 11/		12/17/2015	7,996,000.00	100.07	8,005,760.00		5.43%	S&P-AA-	59
86958DBQ4	8,000,000.00	1.07	7,998,939.48	1.07	7,772.74		6,820.52	Moody's-Aa2	0
Wells Fargo FR YCD Var. Negotiable CD 2/3/2017		6/29/2016	3,003,036.90	100.09	3,002,790.00		2.04%	S&P-AA-	126
94988EQA9	3,000,000.00	1.12	3,002,551.55	1.12	5,408.98		238.45	None	0



San Benito County Portfolio Holdings by Asset Class Report Group: San Benito County

Date: 9/30/2016

Description CUSIP	Face Amount / Shares	Settlement Date		Cost Value Book Value	Market Price YTM @ Market	Market Value Accrued Interest	% Portfolio Unre. Gain/Loss	Credit Rating	Days To Call/Maturity Duration To Maturity
		YTM @ Cost	YTM @ Cost						
Sub Total Certificate of Deposit - Negotiable	50,000,000.00	1.19	1.19	50,021,905.38 50,013,270.55	1.19	50,020,193.00 62,741.24	33.98% 6,322.45		142 0
Commercial Paper - Discount									
Angelsea Funding 0 10/6/2016		8/9/2016		9,989,366.70	100.00	9,999,600.00	6.78%	S&P-A1	6
0347M2K62	10,000,000.00	0.67	0.24	9,989,366.70	0.24	0.00	10,233.30	Moody's-P1	0.02
Sub Total Commercial Paper - Discount	10,000,000.00	0.67	0.24	9,989,366.70	0.24	9,999,600.00	6.78%		6
Managed Pool Accounts									
CAMP LGIP		4/7/2014		5,000,000.00	100.00	5,000,000.00	3.4%	None	1
CAMP4500	5,000,000.00	0.64	0.64	5,000,000.00	0.64		0.00	None	0
LAIF LGIP		6/30/2013		26,200,000.00	100.00	26,200,000.00	17.79%	None	1
LAIF5000	26,200,000.00	0.63	0.63	26,200,000.00	0.63		0.00	None	0
Sub Total Managed Pool Accounts	31,200,000.00	0.63	0.63	31,200,000.00	0.63	31,200,000.00	21.19%		1
Medium Term Notes									
AUST. & NZ BANKING GROUP Var. Corp 5/15/2018		1/27/2014		3,011,670.00	100.25	3,007,560.00	2.04%	Moody's-Aa2	592
05253JAD3	3,000,000.00	1.38	1.38	3,004,745.35	1.38	5,278.50	2,814.65	S&P-AA-	0
Bank of Montreal FR MTN Var. Corp 4/9/2018		9/20/2016		4,009,760.00	100.28	4,011,240.00	2.72%	Moody's-Aa3	556
06366RMT9	4,000,000.00	1.27	1.27	4,009,760.00	1.27	11,654.47	1,480.00	S&P-A+	0
BK of Tokyo-Mitsubishi MTN Var. Corp 3/10/2017		2/26/2016		3,849,310.85	100.05	3,852,079.00	2.61%	Moody's-A1	161
064255AT9	3,850,000.00	1.26	1.26	3,849,670.01	1.26	2,645.16	2,408.99	S&P-A+	0
Branch BK & Trust Bank Note MTN Var. Corp 5/23		4/10/2015		3,311,762.81	99.97	3,323,969.25	2.25%	S&P-A-	235
10513KAC8	3,325,000.00	1.12	1.12	3,320,331.07	1.12	3,920.71	3,638.18	Moody's-A2	0
Commonwealth BK Australia MTN Var. Corp 3/13/2017		6/29/2016		5,005,115.00	100.09	5,004,600.00	3.4%	Moody's-Aa2	164
2027AOGZ6	5,000,000.00	1.21	1.21	5,003,602.39	1.21	2,862.19	997.61	S&P-AA-	0



San Benito County Portfolio Holdings by Asset Class Report Group: San Benito County

Date: 9/30/2016

Description CUSIP	Face Amount / Shares	Settlement Date		Cost Value Book Value	Market Price YTM @ Market	Market Value Accrued Interest	% Portfolio Unre. Gain/Loss	Credit Rating Credit Rating	Days To Call/Maturity Duration To Maturity
		YTM @ Cost	YTM @ Market						
JP MORGAN CHASE FR MTN Var. Corp 2/15/2017		7/25/2016	100.14	5,008,350.00	5,007,000.00	3.4%	Moody's-A3	138	
46623EJZ3	5,000,000.00	1.15	1.15	5,007,494.63	7,322.31	-494.63	S&P-A-	0	
National Australia Bank FR MTN Var. Corp 6/30/201		9/20/2016	99.96	3,500,983.50	3,498,495.00	2.38%	Moody's-Aa2	273	
63250CZ2	3,500,000.00	1.12	1.12	3,500,948.75	0.00	-2,453.75	S&P-AA-	0	
Rabobank Ned. NY FR MTN Var. Corp 4/28/2017		4/12/2016	100.02	10,008,300.00	10,002,400.00	6.8%	Moody's-Aa2	210	
21688AAB8	10,000,000.00	1.07	1.07	10,005,969.03	19,075.56	-3,569.03	S&P-A+	0	
Santander UK PLC MTN Var. Corp 3/13/2017		4/18/2016	99.94	1,999,653.47	1,998,720.00	1.36%	Moody's-A1	164	
80283LAC7	2,000,000.00	1.36	1.36	1,999,609.36	1,286.54	-1,089.36	S&P-A	0	
UBS AG Stamford FR MTN Var. Corp 6/1/2017		5/11/2016	100.11	4,856,305.00	4,855,189.50	3.3%	S&P-A	244	
90261XHM7	4,850,000.00	1.40	1.40	4,854,459.24	5,477.97	730.26	Moody's-A1	0	
Sub Total Medium Term Notes	44,525,000.00	1.21	1.21	44,556,789.83	59,523.41	4,462.92	30.26%	258	
None									
FHLB Var. FHLB 10/12/2016		1/12/2016	100.01	10,000,000.00	10,000,900.00	6.79%	S&P-AAA	12	
3130A6YY7	10,000,000.00	0.59	0.59	10,000,000.00	13,044.44	900.00	Fitch-AA+	0	
Sub Total None	10,000,000.00	0.59	0.59	10,000,000.00	13,044.44	6.79%	900.00	12	
Passbook Checking Accounts									
Bank of America Cash		6/30/2013	100.00	1,489,621.61	1,489,621.61	1.01%	None	1	
CASH1283	1,489,621.61	0.00	0.00	1,489,621.61		0.00	None	0	
Sub Total Passbook Checking Accounts	1,489,621.61	0.00	0.00	1,489,621.61	1,489,621.61	1.01%	0.00	1	
TOTAL PORTFOLIO	147,214,621.61	0.99	0.96	147,262,104.32	147,271,567.36	100.00%	22,518.67	128	